

LOUISIANA STATE UNIVERSITY AGRICULTURAL CENTER

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RESPONSIBLE OFFICE: Sponsored Programs/Intellectual Property

CATEGORY: Administrative

RESEARCH SECURITY FOR FEDERALLY FUNDED RESEARCH

I. PURPOSE

The purpose of this Policy Statement is to establish the Louisiana State University Agricultural Center's (AgCenter) commitment to protecting the security and integrity of federally funded research and the safety of individuals engaged in research activities, including international travel conducted in a research capacity. This policy ensures institutional compliance with federal requirements related to research security, including those contained in the [National Security Presidential Memorandum 33 \(NSPM-33\)](#) and Section 10634 of the [CHIPS and Science Act of 2022](#).

This policy supports other applicable LSU and LSU AgCenter policies, including without limitation, [LSU PM-45 Compliance with Export Control Regulations](#) as well as [AgCenter PS-16 LSU Agricultural Center Policy and Procedures to Address Alleged Misconduct in Research](#). It establishes the AgCenter's framework for identifying and mitigating certain risks related to cybersecurity threats, data breaches, foreign malign influence, and inappropriate transfer of intellectual property.

This policy applies **solely to federally funded research**. Questions related to research security outside this scope should be directed to the [AgCenter Office of Sponsored Programs](#).

II. DEFINITIONS

Covered Individuals – Individuals who contribute in a substantive and meaningful way to the development or execution of a federally funded project, including Principal Investigators, Co-PIs, and other project personnel designated by the PI. Defined by Section 10634 of the [CHIPS and Science Act of 2022](#).

Cybersecurity – Safeguards implemented to protect systems, networks, data, and intellectual property from unauthorized access, exploitation, or criminal activity.

Foreign Countries of Concern – Countries designated by the U.S. Department of Commerce as [foreign adversaries](#) or otherwise restricted for international travel or trade.

Foreign Malign Talent Program Recruitment – Any program sponsored or managed by a foreign adversary government that seeks to recruit faculty, researchers, or students for the purpose of acquiring, transferring, or exploiting research data, technology, software, or intellectual property.

Undue Foreign Influence – Any acceptance of or involvement by the employee in a program, position, or activity that includes compensation in the form of cash, in-kind compensation, including research funding, promised future compensation, complimentary foreign travel, things of non de minimis value, honorific titles, career advancement opportunities, or other types of remuneration or consideration directly provided by a foreign country at any level (national, provincial, or local) or their designee, or an entity based in, funded by, or affiliated with a foreign country, whether or not directly sponsored by the foreign country.

III. GENERAL POLICY

LSU AgCenter faculty, staff, and students participating in federally funded research must comply with all institutional and federal research security requirements. The LSU AgCenter Office of Sponsored Programs coordinates research security procedures, including compliance reviews, required disclosures, and training.

IV. PROCEDURES

Covered individuals are responsible for ensuring compliance with the following procedures.

A. Undue Foreign Influence Review

Undue foreign influence poses a significant risk to academic freedom, national security, and the integrity of research. The LSU AgCenter Office of Sponsored Programs will monitor international collaborations, affiliations, and research partnerships to identify and mitigate undue foreign influence. All required disclosures of foreign appointments, affiliations, and financial support must be submitted in accordance with federal regulations and sponsor requirements.

B. Foreign Malign Talent Program Reviews

This review will focus on individuals whose activities may pose a risk to the LSU research enterprise, intellectual property, proprietary data, and U.S. national security. The LSU AgCenter Office of Sponsored Programs will conduct reviews to identify potential participation in foreign

malign talent programs as defined by NSPM-33 and the CHIPS and Science Act. Activities or affiliations that present security concerns will be evaluated and addressed in coordination with appropriate University offices.

C. Cybersecurity Protections

Federally funded research data and systems must be protected from cyber threats. LSU and the LSU AgCenter adhere to institutional policies including [LSU PM-36 Information Security](#) and all relevant AgCenter policies. Covered individuals must follow cybersecurity guidance and complete required security awareness training.

D. Risk Management and Data Management

Risk assessments will be conducted to ensure compliance with federal regulations relating to export controls, intellectual property protection, and sensitive or classified data. All federally funded research data must be stored securely, and access must be limited to authorized personnel in accordance with [PM-45 \(Compliance with Export Control Regulations\)](#). Covered individuals must consult with AgCenter Information Technology staff to ensure that necessary data protections are in place.

E. Foreign Travel Review

Approval for foreign travel must be initiated by covered individuals by making a request through AgCenter Office of Accounting Services. The LSU AgCenter Office of Sponsored Programs, in coordination with the AgCenter Office of Accounting Services and Information Technology, will review international travel associated with federally funded research. Reviews will consider the purpose of the travel, funding source, destination risk, and research materials being transported. Travelers must comply with U.S. export control laws and report any research data or equipment accompanying them. Refer to the [AgCenter Know Before You Go](#) resource for additional details.

F. Foreign Gift and Contract Reviews

Foreign gifts and contracts will be reviewed by LSU AgCenter Office of Sponsored Programs to ensure compliance with [NSPM-33](#) and to identify potential conflicts of interest or research security risks. Reviews may involve legal, financial, or security assessments. The AgCenter may decline foreign contributions that pose unacceptable risks.

G. Research Security Training

All covered individuals as defined by Section 10634 of the [CHIPS and Science Act of 2022](#) (an individual who contributes in a substantive, meaningful way to the development or execution of the scope of work of a project funded federally) and other participating personnel must

complete annual Research Security training. Training is offered online, and completion certificates are automatically generated. Compliance with training requirements is mandatory for participation in federally funded research. If you have questions about access or completion of Research Security Training, please contact LSU AgCenter Office of Sponsored Programs. Department Heads and Regional Directors will monitor training completion rates for their unit and provide reminders and follow-up communication to their faculty.

V. ROLES AND RESPONSIBILITIES

A. LSU AgCenter Office of Sponsored Programs

The Associate Vice President for Strategic Initiatives or their designee is responsible for overseeing required research security reviews and training. The LSU AgCenter Office of Sponsored Programs provides guidance, conducts compliance monitoring, and communicates requirements to covered individuals.

B. Covered Individuals

Covered individuals must provide documentation required for research security reviews, including bio sketches, contracts, or disclosures. They must self-disclose all foreign affiliations and any involvement in foreign malign talent programs as required by federal law.

VI. EXCEPTIONS

Exceptions to this policy must be approved in advance by the Senior Vice Chancellor or their designee.

VII. REFERENCES/SOURCES

- [CHIPS and Science Act of 2022 Determination of Foreign Adversaries](#)
- [National Security Presidential Memorandum-33 \(NSPM-33\)](#)
- [PM 45 Compliance with Export Control Regulations](#)
- [AgCenter PS-28](#) International Travel: Reimbursement, Official Leave, And Travel Time
- [AgCenter PS-29](#) Disclosure of Significant Financial Interests to Prevent Bias in Research
- [AgCenter PS-32](#) Information Technology Acquisition and Deployment Review
- [AgCenter PS-54](#) Information Tech Asset Management and Data Governance
- [National Science Foundation No. 149: Updates to NSF Research Security Policies](#)

VIII. APPENDICES

- [AgCenter Office of Sponsored Programs](#)
- [AgCenter Office of Accounting Services](#)

- [AgCenter Office of Information Technology](#)
- [LSU Office of Research & Economic Development](#)